

Tony Kershaw
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13 July 2018

Regulation, Audit and Accounts Committee

A meeting of the committee will be held at **10.30 am on Monday, 23 July 2018** at **County Hall, Chichester**.

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Agenda

1. Declarations of Interest

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

2. Minutes of the last meeting of the Committee (Pages 5 - 14)

The Committee is asked to agree the minutes of the meeting held on 26 March 2018 (cream paper).

3. Urgent Matters

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

4. Responses Received

The committee is asked to note the received letters in response to the queries raised at the previous meeting regarding Carillion.

(a) **Letter from the Cabinet Member for Environment** (Pages 15 - 18)

(b) **Letter from the Chairman of the Contract Management Scrutiny Task and Finish Group** (Pages 19 - 20)

5. External Audit Report

The Committee is asked to review and note the audit result reports from EY for West Sussex County Council and the West Sussex Pension Fund.

- (a) **Audit Results Report – West Sussex County Council** (Pages 21 - 78)
- (b) **Audit Results Report – West Sussex Pension Fund** (Pages 79 - 114)

6. **Financial Statements 2017/18** (Pages 115 - 276)

Report by the Director of Finance, Performance and Procurement.

The Committee is asked to approve the Statement of Accounts for 2017/18 for West Sussex County Council and the West Sussex Pension Fund, for signing by the Chairman of the Committee.

7. **Annual Governance Statement 2017/18** (Pages 277 - 314)

Report by Director of Finance, Performance and Procurement and Director of Law and Assurance.

The Committee is asked to approve the draft Annual Governance Statement for signature by the Leader of the County Council and the Chief Executive, subject to any amendments that this Committee may wish to make.

The Committee is also asked to agree the draft action plan arising from the 2017/18 Statement.

8. **Review of Financial Regulations and Financial Procedures** (Pages 315 - 336)

Report by Director of Finance, Performance and Procurement and Director of Law and Assurance.

The Committee is asked to approve the revised Financial Regulations following their endorsement by the Governance Committee on 25 June 2018; that the proposal to hold the Treasury Management Policy Statement and the Financial Procedures outside of the Council's Constitution be endorsed for onward approval by the County Council on 20 July 2018, as part of the current review of the Constitution, subject to committee endorsement; and that the Financial Regulations and Financial Procedures are reviewed in 2021.

9. **Internal Audit - Annual Audit Report 2017/18** (Pages 337 - 354)

Report by the Report by Director of Finance, Performance and Procurement and Head of Southern Internal Audit Partnership.

The Committee is asked to approve the annual audit report for the year ended 31st March 2018.

10. **Internal Audit - Annual Fraud Report 2017/18** (Pages 355 - 368)

Report by Director of Finance, Performance and Procurement and Head of Southern Internal Audit Partnership.

The Committee is asked to note the annual fraud report for the year ended 31st March 2018.

11. **Quarterly Review of the Corporate Risk Register** (Pages 369 - 380)

Report by the Director of Finance, Performance and Procurement.

The Committee is asked to review the information detailed in the report, the current Corporate Risk Register and provide comment as necessary.

12. **Treasury Management Compliance Report - First Quarter 2018/19**
(Pages 381 - 388)

Report by the Director of Finance, Performance and Procurement.

The Committee is asked to note the report.

13. **General Data Protection Regulations**

The Committee to receive a verbal update on the impact of General Data Protection Regulations (GDPR).

14. **Training Considerations**

Committee to consider any training needs for the forthcoming year.

15. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30 am on 5 November 2018 at County Hall, Chichester.

To all members of the Regulation, Audit and Accounts Committee